

# A thermal multi-phase flow model for directed energy deposition processes via a moving signed distance function

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## Abstract

Thermal multi-phase flow analysis has been proven to be an indispensable tool in metal additive manufacturing (AM) modeling, yet accurate and efficient simulations of metal AM processes remains challenging. This paper presents a flexible and effective thermal multi-phase flow model for directed energy deposition (DED) processes. Departing from the data-fitted or presumed deposit shapes in the literature, we first derive a deposit geometry model based on an energy minimization problem with a mass conservation constraint. Then, an interface-capturing approach based on a signed distance function that moves with the laser is constructed to represent the air–metal interface evolution. The approach can be applied to any type of mesh without requiring the activation process of solid elements in a mesh. The coupled multi-phase Navier–Stokes and energy conservation equations are solved by a variational multi-scale formulation (VMS). A density-scaled continuous surface force (CSF) model is employed to incorporate the Marangoni effect, no penetration boundary condition, and the heat source on the air–metal interface. We utilize the proposed method to simulate two representative metal manufacturing problems. The simulated results are carefully compared with available experimental measurements and computational results from others. The results demonstrate the accuracy and modeling capabilities of the proposed method for metal AM problems.

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**Keywords:** Directed energy deposition; Additive manufacturing; Thermal multi-phase flow; Variational multi-scale formulation

## 1. Introduction

Metal additive manufacturing (AM), such as laser powder bed fusion (LPBF) and directed energy deposition (DED), has the potential to revolutionize mechanical, aerospace, and biomedical industries owing to the superior capability to print metals with complex geometries directly from digital models without the constraints of traditional manufacturing technologies [1]. Developing predictive models to link the process–structure–property is one of the fundamental research areas in metal AM. However, metal AM, due to the intrinsic multi-scale and multi-physics nature, is challenging to model. For the past decade, many researchers have proposed various methods to model metal AM at different scales.

Among the essential models is the process modeling technique, which acts as a spearhead to elucidate the driving physics of manufacturing processes and their dependence on process parameters. The state-of-the-art metal AM process modeling techniques employ thermal fluid models by solving Navier–Stokes equations coupled with heat transfer at the powder scale to capture the evolution of temperature, melt pool dynamics, phase transition,

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and interface topological changes. Lawrence Livermore National Lab developed a thermal-fluid solver using the Arbitrary-Lagrangian Eulerian technique [2–5]. Lin et al. [6,7] developed a control-volume finite element approach to simulate a single particle deposition during DED processes. Yan et al. utilized the volume-of-fluid (VoF) based thermal-fluid solver to model multi-layer and multi-track LPBF processes [8–12]. Panwisawas et al. also employed the VoF method by using OpenFOAM to analyze the inter-layer and inter-track void formation [13]. Li et al. developed a thermal-fluid model by combining the level set method and Lagrangian particle tracking to investigate powder–gas interaction in LPBF processes [14].

Despite the fine resolved details, the computational cost of these powder-scale approaches is prohibitively high due to the small size and the large number of powders during metal AM processes. An alternative approach to reduce the computational cost is to treat powders as continuous domain and not discrete ones. For directed energy deposition simulations, this type of approach's success largely depends on deposit geometry models. A recent paper by Wang et al. [15] performed a thorough analysis of deposit geometry using power-scale multi-physics modeling and gaussian process regression analysis. However, in the context of continuous domain based analysis, previous models typically utilize experimental data-fitted shapes or presumed 2D or 3D geometry shapes, such as parabolic, sinusoidal or elliptical surfaces [16–20]. These approaches are easy to use but lack a solid physical foundation. Besides, the materials deposit process of these approaches is achieved by activating the mesh elements as solids as the laser scans, which restricts the types of elements allowed in the simulations [21].

Departing from these approaches, we put forth an effective gas–liquid–solid thermal fluid model without introducing any additional equation to simulate DED processes. We first derive a theoretical model for the deposit geometry based on an energy minimization problem subject to a mass conservation constraint. Then, an interface-capturing approach based on a signed distance function that moves with the laser is utilized to represent the air–metal interface. The Marangoni effect, no penetration boundary condition, and the laser heat are handled by the density-scaled continuous surface force (CSF) model [22] that is also used in our previous multi-phase flow work in [7,23–25]. We solve the thermal fluid model by employing the residual-based variational multi-scale formulation (VMS). VMS, attributed to its geometry flexibility and variational consistency, has been applied to many challenging computational fluid dynamics (CFD), fluid–structure interaction (FSI), and multi-physics problems [26–31], rendering it very attractive to thermal multi-phase flow problems in metal AM processes.

This paper is structured as follows. Section 2 describes the thermal multi-phase flow formulation, including governing equations, deposit geometry model, interface-capturing approach, and VMS formulation. Section 3 presents the applications to two metal manufacturing problems. The simulated results are carefully compared with experimental data or computational results from others to demonstrate the proposed approach's accuracy and predictive capability. We summarize the contributions and limitations of the proposed method in Section 4.

## 2. Formulation

The proposed multi-phase flow formulation considers gas, liquid, and solid phases during DED processes. The air and metal phases are distinguished by an interface-capturing approach, while the liquid and solid metal phases are distinguished by a liquid fraction that is a function of temperature. The formulation builds upon the tacit assumption that the solid phase is a highly viscous fluid with the same constant density as the liquid metal. The latent heat of fusion is considered, while the loss of metal material due to vaporization and the effects on heat loss, composition change, and fluid motion are negligible for the problems considered here.

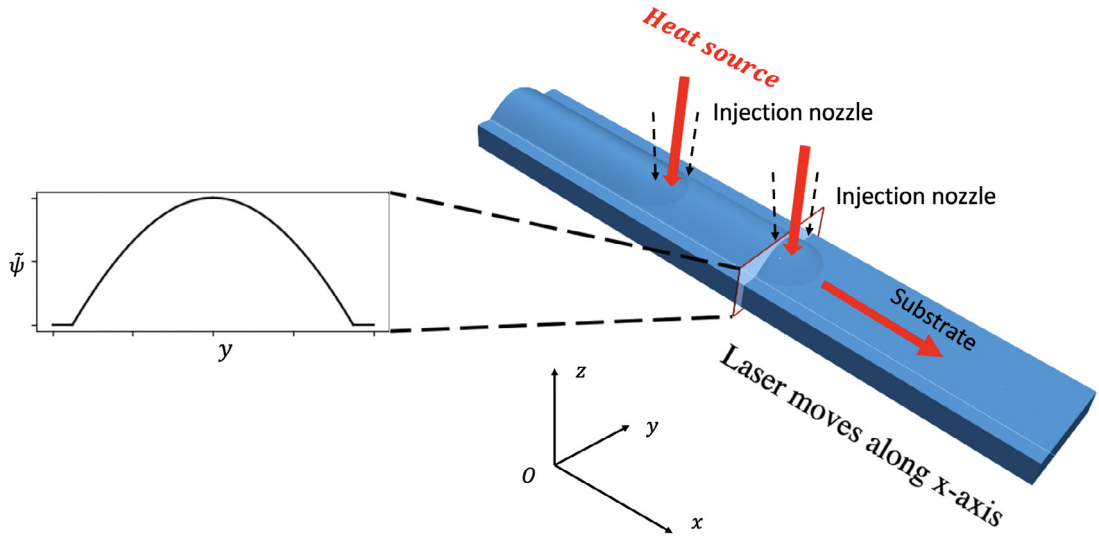
### 2.1. Governing equations

The gas, liquid, and solid phases in DED processes are modeled by a unified fluid mechanics approach, in which the material properties are interpolated by the respective properties of individual phases. The velocity  $\mathbf{u}$ , pressure  $p$ , and temperature  $T$  during DED processes satisfy the following incompressible Navier–Stokes equations and energy conservation equation.

$$r_M(\mathbf{u}, p) := \frac{\partial \rho \mathbf{u}}{\partial t} + \nabla \cdot (\rho \mathbf{u} \otimes \mathbf{u}) + \nabla p - \nabla \cdot (2\mu \nabla^s \mathbf{u}) - \rho \mathbf{g} - \mathbf{f}_s - \mathbf{f}_p = \mathbf{0} \quad \text{in } \Omega_t \quad (1)$$

$$r_C(\mathbf{u}) := \nabla \cdot \mathbf{u} = 0 \quad \text{in } \Omega_t \quad (2)$$

$$r_T(\mathbf{u}, T) := \frac{\partial (\rho h)}{\partial t} + \nabla \cdot (\rho \mathbf{u} h) - \nabla \cdot (\kappa \nabla T) - Q_T = 0 \quad \text{in } \Omega_t \quad (3)$$



**Fig. 1.** Diagram of a single-track DED process. As the laser moves, the surrounding nozzles simultaneously inject metallic powders into the laser beam and deposit them onto the substrate.

where  $\Omega_t$  is the problem domain,  $\rho$  and  $\mu$  are the material density and dynamic viscosity,  $\mathbf{g}$  is the gravitational acceleration vector,  $\nabla^s$  is the symmetric part of gradient operator. In Eq. (3),  $h = \int_{T_0}^T c_p d\tau + Lf_L$  is the enthalpy, where  $c_p$  is the heat capacity,  $\kappa$  is the heat conductivity,  $L$  is the latent heat of fusion, and  $f_L$  is the liquid fraction that takes a linear profile in the mushy zone, namely,

$$f_L(T) = \begin{cases} 0, & T \leq T_s \\ \frac{T-T_s}{T_l-T_s}, & T_s < T < T_l \\ 1, & T_l \leq T \end{cases} \quad (4)$$

where  $T_s$  and  $T_l$  are the solidus and liquidus temperature, respectively.

In Eqs. (1)–(3),  $\mathbf{f}_s$ ,  $\mathbf{f}_p$ , and  $Q_T$  represent the Marangoni force, non-penetration boundary condition, and heat laser on the gas–metal interface, respectively. We will present the respective models based on an interface-captured approach later in the paper.

## 2.2. Deposit geometry

Deposit geometry is an important factor for DED simulations. Previous models either rely on experimental observations or presumed 2D/3D geometry shapes, such as parabolic, sinusoidal or elliptical surfaces [16–20]. These models are simple to implement but lack a sound physical foundation. Departing from these models, we propose a new theoretical approach to derive the deposit geometry based on an energy minimization problem with mass conservation constraint. Fig. 1 shows the schematic diagram of a typical single-track DED process. The proposed approach makes two assumptions: (1) The cross-section of the deposit remains unchanged behind the laser. (2) The material distribution is radially symmetric under the laser beam. To derive the deposit shape, we first define the following energy function in the deposit volume.

$$E = \int_{\Gamma_t} \sigma d\Gamma + \int_{\Omega_t^{ded}} \rho_m g z d\Omega \quad (5)$$

where  $\Omega_t^{ded}$  is the volume occupied by the material deposit,  $\Gamma_t$  is the surface of  $\Omega_t^{ded}$  exposed to the air. In Eq. (5), the first term represents the surface energy, where  $\sigma$  is the surface tension coefficient. The second term is the gravitational potential energy, where  $\rho_m$  is the metal density,  $g$  is the gravitational acceleration magnitude,  $z$  is the height with respect to the substrate. The deposit geometry  $\Gamma_t$  can be described as a height function  $\psi(x, y)$  with

respect to the substrate ( $x$ - $y$  plane), namely,

$$\Gamma_t = \{\mathbf{x} = (x, y, z)^T | z = \psi(x, y), \mathbf{x} \in \mathbb{R}^3\} \quad (6)$$

Then, the total energy function in Eq. (5) can be expressed as

$$E(\psi) = \int_{\Gamma_t^p} (\sigma \sqrt{1 + \psi_{,x}^2 + \psi_{,y}^2} + \frac{1}{2} \rho_m g \psi^2) dx dy \quad (7)$$

where  $\Gamma_t^p$  is the projection of  $\Gamma_t$  on the substrate.  $\psi(x, y)$  can be obtained by minimizing the energy function  $E(\psi)$  subject to appropriate constraints. Considering the caught mass from the nozzle must equal the mass in the deposit volume, the following constraint needs to be satisfied,  $\rho_m V_s A = \eta_c \dot{m}$ , where  $A$  is the area of the cross-section behind heat laser,  $\eta_c$  is the fractional mass catchment of material into the melt pool,  $\dot{m}$  is the mass flow rate from the nozzle,  $V_s$  is the scanning speed of the laser. Considering the facts that the deposit cross-section behind the laser remains unchanged and the deposit length is much longer than its width, it is reasonable to assume  $\psi_{,x} = 0$  in Eq. (7), which reduces the 3D minimization problem to the following 2D minimization problem

$$\begin{aligned} \tilde{\psi} = \underset{\tilde{\psi}}{\operatorname{argmin}} \quad & \tilde{E}(\tilde{\psi}) = \int_{-\frac{L}{2}}^{\frac{L}{2}} (\sigma \sqrt{1 + \tilde{\psi}_{,y}^2} + \frac{1}{2} \rho_m g \tilde{\psi}^2) dy \\ \text{subject to} \quad & \rho_m V_s \int_{-\frac{L}{2}}^{\frac{L}{2}} \tilde{\psi} dy = \eta_c \dot{m} \end{aligned} \quad (8)$$

where  $\tilde{\psi} = \tilde{\psi}(y)$  is the height function of the deposit cross-section behind the laser (see Fig. 1),  $L$  is the deposit width, which is a fraction  $f_m$  of the laser beam radius  $r_b$ .  $f_m$  varies from 0.75 and 1, depending on the manufacturing parameters [20].

The minimization problem in Eq. (8) can be solved by using a Lagrangian multiplier approach, in which the following Lagrangian functional is defined

$$F(\tilde{\psi}) = \tilde{E}(\tilde{\psi}) + \lambda \left( \rho_m V_s \int_{-\frac{L}{2}}^{\frac{L}{2}} \tilde{\psi} dy - \eta_c \dot{m} \right) \quad (9)$$

where  $\lambda$  is an unknown Lagrangian multiplier. The stationary point is obtained by setting  $\frac{\delta F}{\delta \tilde{\psi}} = 0$  and  $\frac{\delta F}{\delta \lambda} = 0$ , which leads to the following two Euler–Lagrange equations with boundary conditions.

$$\tilde{\psi}_{,yy} = \frac{\frac{\rho_m g \tilde{\psi} + \lambda}{\sigma} \sqrt{1 + \tilde{\psi}_{,y}^2} + \tilde{\psi}_{,y}^2}{1 + \tilde{\psi}_{,y}^2} \quad (10)$$

$$\int_{-\frac{L}{2}}^{\frac{L}{2}} \tilde{\psi} dy = \frac{\eta_c \dot{m}}{\rho_m V_s} \quad (11)$$

$$\tilde{\psi}(\pm \frac{L}{2}) = 0 \quad (12)$$

This is a highly nonlinear ordinary differential equation (ODE) and has to be solved numerically. The good news is that we only need to solve it once. Once  $\tilde{\psi}$  is given, the deposit geometry  $\Gamma_t$  is constructed as follows. The deposit behind the laser is obtained by extruding  $\tilde{\psi}$  along  $x$  direction, and the deposit front is obtained by rotating  $\tilde{\psi}$  around the laser. With  $\Gamma_t$ , a signed distance function that moves with the laser at the same speed is constructed to represent the air–metal interface. The approach and the associated methods of enforcement boundary conditions on the air–metal interface will be given next.

### 2.3. Interfacial method

We make use of an interface-capturing method to handle the gas–metal interface. To this end, a signed distance function  $\phi(t, \mathbf{x})$  is defined in  $\Omega_t$ .  $\phi = 0$  on the air–metal interface and takes a signed distance with respect to the

deposit geometry  $\Gamma_t$  in air and metal phases, given as follows

$$\phi(t, \mathbf{x}) = \begin{cases} \text{dist}(\mathbf{x}, \Gamma_t) & \text{if } \mathbf{x} \in \Omega_m \\ 0 & \text{if } \mathbf{x} \in \Gamma_t \\ -\text{dist}(\mathbf{x}, \Gamma_t) & \text{if } \mathbf{x} \in \Omega_a \end{cases} \quad (13)$$

$\phi(t, \mathbf{x})$  can be obtained by solving the following Eikonal equation

$$\|\nabla\phi\| = 1 \text{ in } \Omega_t \quad (14)$$

$$\phi = 0 \text{ on } \Gamma_t \quad (15)$$

With the signed distance function  $\phi$ , any material  $\chi$  needed in the thermal fluid equations can be evaluated by the following interpolation.

$$\chi(\phi, t) = \chi_a [1 - H_\epsilon(\phi)] + [\chi_l f_L + \chi_s (1 - f_L)] H_\epsilon(\phi) \quad (16)$$

where  $\chi_a$ ,  $\chi_l$ , and  $\chi_s$  are the corresponding material property in air, liquid, and solid phases.  $H_\epsilon$  is a regularized Heaviside function, given as

$$H_\epsilon(\phi) = \begin{cases} 0 & \text{if } \phi \leq -\epsilon \\ \frac{1}{2}(1 + \frac{\phi}{\epsilon} + \frac{1}{\pi} \sin(\frac{\pi\phi}{\epsilon})) & \text{if } |\phi| < \epsilon \\ 1 & \text{if } \phi \geq \epsilon \end{cases} \quad (17)$$

where  $\epsilon$  is a small, positive constant and scales with local element size, which defines the air–metal interface thickness.

Continuous surface force (CSF) model [22] is used to incorporate the boundary conditions of the Marangoni effect and no penetration boundary condition on the air–metal interface in the Navier–Stokes equations, and heat flux in the energy conservation equation. The basic concept of the CSF model is converting traction/flux type boundary conditions into volumetric forcing terms via a regularized Dirac- $\delta$  function  $\delta_\epsilon$  that is only non-zero around the gas–metal interface. In present formulation,  $\mathbf{f}_s$ ,  $\mathbf{f}_p$ , and  $Q_T$  are defined as

$$\mathbf{f}_s = \frac{\partial\sigma}{\partial T} [\nabla T - (\nabla T \cdot \mathbf{n})\mathbf{n}] \delta_\epsilon \quad (18)$$

$$\mathbf{f}_p = -\lambda_p (\mathbf{u} \cdot \mathbf{n})\mathbf{n} \delta_\epsilon \quad (19)$$

$$Q_T = \frac{d\eta_p Q}{\pi r_b^2} \exp(-d \frac{|\mathbf{x} - \mathbf{x}_c|^2}{r_b^2}) (\mathbf{n} \cdot \mathbf{e}_3) \delta_\epsilon \quad (20)$$

where  $\mathbf{n}$  is the unit normal vector of gas–metal interface,  $\mathbf{e}_3 = (0, 0, 1)^T$ ,  $\frac{\partial\sigma}{\partial T}$  is the Marangoni coefficient,  $\lambda_p$  is a penalty coefficient for no penetration boundary condition,  $Q$  is the laser power,  $d$  is the laser distribution factor,  $\eta_p$  is the absorptivity,  $r_b$  is the laser radius,  $\mathbf{x}_c$  is the laser center that moves with scanning speed  $V_s$ . Normally,  $\delta_\epsilon$  can be calculated as  $\delta_\epsilon = \frac{\partial H_\epsilon}{\partial \phi}$ . Following our previous work in [7,23], the density-scaled Dirac- $\delta$  function is utilized, namely,

$$\delta_\epsilon(\phi) = \frac{2\rho(\phi)}{\rho_a + \rho_s} \frac{\partial H_\epsilon}{\partial \phi} \quad (21)$$

## 2.4. Variational multi-scale formulation

A residual-based variational multi-scale approach (VMS) [32,33] is employed to solve the above thermal multi-phase flow model for DED processes. For completeness, the semi-discrete formulation is briefly presented as follows. Let  $\mathcal{V}^h$  denote the trial function space for unknown velocity  $\mathbf{u}^h$ , pressure  $p^h$ , and temperature  $T^h$ , respectively. Let  $\mathcal{W}^h$  denote the space of test functions  $\{\mathbf{w}^h, q^h, \eta^h\}$  for the momentum, continuity, and energy conservation equations, respectively. The VMS formulation of the thermal multi-phase flow model is stated as:  $\forall \{\mathbf{w}^h, q^h, \eta^h\} \in \mathcal{W}^h$ , find  $\{\mathbf{u}^h, p^h, \phi^h\} \in \mathcal{V}^h$ , such that

$$\begin{aligned}
& \int_{\Omega_t} \mathbf{w}^h \cdot \left[ \frac{\partial \rho \mathbf{u}^h}{\partial t} + \nabla \cdot (\rho \mathbf{u}^h \otimes \mathbf{u}^h) - \rho \mathbf{g} - \mathbf{f}_s - \mathbf{f}_p \right] d\Omega - \int_{\Omega_t} p^h \nabla \cdot \mathbf{w}^h d\Omega \\
& - \int_{\Gamma_F} \mathbf{w}^h \cdot \mathbf{h} d\Gamma + \int_{\Omega_t} \nabla \mathbf{w}^h : 2\mu \nabla^s \mathbf{u}^h d\Omega + \int_{\Omega_t} q^h \nabla \cdot \mathbf{u}^h d\Omega \\
& + \int_{\Omega_t} \eta^h \left[ \frac{\partial (\rho h^h)}{\partial t} + \nabla \cdot (\rho \mathbf{u}^h h^h) \right] d\Omega + \int_{\Omega_t} \nabla \eta^h \cdot \kappa \nabla T^h d\Omega - \int_{\Gamma_T} \eta^h q d\Gamma - \int_{\Omega_t} \eta^h Q_T d\Omega \\
& + \sum_{e=1}^{n_{el}} \int_{\Omega_t^e} \tau_M (\mathbf{u}^h \cdot \nabla \mathbf{w}^h + \frac{\nabla q^h}{\rho}) \cdot \mathbf{r}_M(\mathbf{u}^h, p^h) d\Omega \\
& + \sum_{e=1}^{n_{el}} \int_{\Omega_t^e} \rho \tau_C \nabla \cdot \mathbf{w}^h r_C(\mathbf{u}^h) d\Omega - \sum_{e=1}^{n_{el}} \int_{\Omega_t^e} \tau_M \mathbf{w}^h \cdot [\mathbf{r}_M(\mathbf{u}^h, p^h) \cdot \nabla \mathbf{u}^h] d\Omega \\
& - \sum_{e=1}^{n_{el}} \int_{\Omega_t^e} \frac{\nabla \mathbf{w}^h}{\rho} : \tau_M \mathbf{r}_M(\mathbf{u}^h, p^h) \otimes \tau_M \mathbf{r}_M(\mathbf{u}^h, p^h) d\Omega \\
& + \sum_{e=1}^{n_{el}} \int_{\Omega_t^e} \tau_T \mathbf{u}^h \cdot \nabla \eta^h r_T(\mathbf{u}^h, T^h) d\Omega = 0
\end{aligned} \tag{22}$$

where the superscript  $h$  represents the scales of quantities resolved on the mesh used. The computational domain is decomposed into  $n_{el}$  elements, namely,  $\Omega_t = \bigcup_e \Omega_t^e$ .  $\mathbf{r}_M$ ,  $r_C$ , and  $r_T$  represent the residuals of strong form governing equations in Eqs. (1)–(3).  $\int_{\Gamma_F} \mathbf{w}^h \cdot \mathbf{h} d\Gamma$  and  $\int_{\Gamma_T} \eta^h q d\Gamma$  are used for incorporating the traction  $\mathbf{h}$  and heat flux  $q$  that are not applied on the gas–metal interface. Eq. (22) features an extension of the residual-based VMS of single-phase turbulent flows, first introduced in [33], to thermal multi-phase flows. The first two lines and the third line in Eq. (22) are the Galerkin formulation of the Navier–Stokes equations and energy conservation equation, respectively. The rest terms in Eq. (22) can be interpreted as a stabilized method or large eddy simulation (LES) turbulence model [27,33–42] for multi-phase fluid dynamics.  $\tau_M$ ,  $\tau_T$ , and  $\tau_C$  are the streamline upwind Petrov–Galerkin (SUPG) [34] and pressure-stabilizing Petrov–Galerkin (PSPG) [35] stabilization parameters, which are defined as below

$$\begin{aligned}
\tau_M &= \left[ \frac{4}{\Delta t^2} + \mathbf{u}^h \cdot \mathbf{G} \mathbf{u}^h + C_I \left( \frac{\mu}{\rho} \right)^2 \mathbf{G} : \mathbf{G} \right]^{-\frac{1}{2}} \\
\tau_C &= \frac{1}{\text{tr}(\mathbf{G}) \tau_M} \\
\tau_T &= \left[ \frac{4}{\Delta t^2} + \mathbf{u}^h \cdot \mathbf{G} \mathbf{u}^h + C_I \left( \frac{\kappa}{\rho c_p} \right)^2 \mathbf{G} : \mathbf{G} \right]^{-\frac{1}{2}}
\end{aligned}$$

where  $\Delta t$  is the time-step size,  $C_I$  is a positive constant [43],  $\mathbf{G}$  is the element metric tensor calculated by the mapping from the iso-parametric element to its physical counterpart, and  $\text{tr} \mathbf{G}$  is the trace of  $\mathbf{G}$ .  $\mathbf{G}$  is defined as  $G_{ij} = \frac{\partial \xi_k}{\partial x_i} \frac{\partial \xi_k}{\partial x_j}$  (Einstein summation notation is used), where  $\xi$  are the parametric coordinates.

The above formulation is temporally integrated by a generalized- $\alpha$  scheme [44]. Newton method is used to linearize the nodal nonlinear equations. The resulting linear systems are solved by using a generalized minimal residual method (GMRES) [45] with block preconditioning [23]. It should be noted that this is not the first application of VMS or stabilized methods to thermal fluid flow simulations. Several successful previous applications without phase transition can be found in [46–49]. The code is parallelized by using Message Passing Interface (MPI). All the simulations presented next are executed in Frontera at Texas Advanced Computing Center.

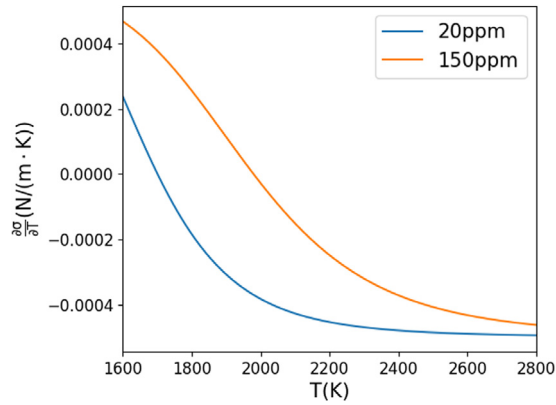
### 3. Numerical examples

#### 3.1. Laser spot weld pool flows

We first use the proposed formulation to simulate a laser welding process without material deposition. Fig. 3 (left) shows the problem setup. A bulk of metal based on steel (Fe–S system), with sulfur as the active element, is melted by a stationary heat laser applied on the top surface. The material properties are listed in Table 1. The heat

**Table 1**  
Material properties.

Name	Notation (units)	Value
Gas density	$\rho_a$ (kg m <sup>-3</sup> )	0.864
Gas heat capacity	$c_{p,a}$ (J kg <sup>-1</sup> K <sup>-1</sup> )	680
Gas thermal conductivity	$k_a$ (W m <sup>-1</sup> K <sup>-1</sup> )	0.028
Metal density	$\rho_l, \rho_s$ (kg m <sup>-3</sup> )	8100
Viscosity of liquid metal	$\mu_l$ (Pa s)	0.006
Liquid heat capacity	$c_{p,l}$ (J kg <sup>-1</sup> K <sup>-1</sup> )	723.14
Liquid thermal conductivity	$k_l$ (W m <sup>-1</sup> K <sup>-1</sup> )	22.9
Solid heat capacity	$c_{p,s}$ (J kg <sup>-1</sup> K <sup>-1</sup> )	627.0
Solid thermal conductivity	$k_s$ (W m <sup>-1</sup> K <sup>-1</sup> )	22.9
Liquidus temperature	$T_l$ (K)	1630
Solidus temperature	$T_s$ (K)	1610
Latent heat of fusion	$L$ (J kg <sup>-1</sup> )	$2.508 \times 10^5$

**Fig. 2.** Marangoni coefficient  $\frac{\partial \sigma}{\partial T}$  as a function of temperature  $T$  for two sulfur activities ( $a_i = 20$  ppm and  $a_i = 150$  ppm).

laser  $q_{in}$  takes the following form

$$q_{in} = \begin{cases} \frac{\eta Q}{\pi r_b^2} & r \leq r_b \\ 0 & r > r_b \end{cases} \quad (23)$$

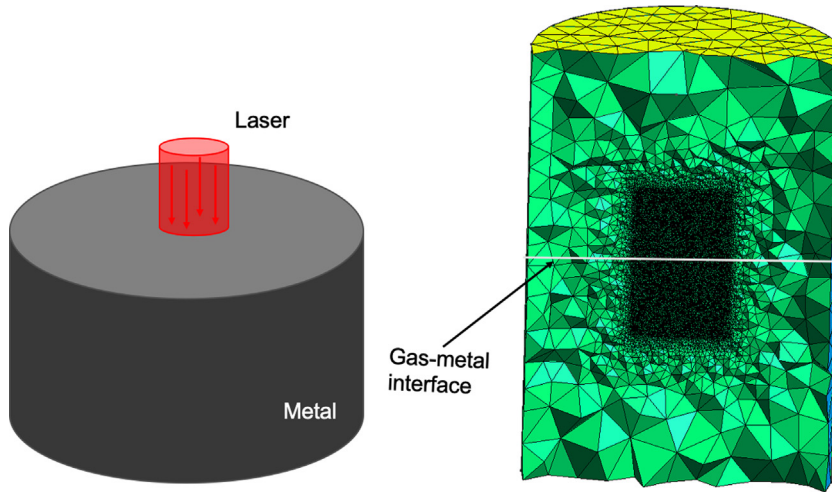
where  $\eta = 0.13$  is the absorptivity,  $Q = 5200$  W is the laser power,  $r_b = 1.4$  mm is the laser radius. The melt pool shape and melt pool fluid dynamics largely depend on the Marangoni coefficient  $\frac{\partial \sigma}{\partial T}$ . According to the model in [50],  $\frac{\partial \sigma}{\partial T}$  is a function of temperature and sulfur concentration, defined as

$$\frac{\partial \sigma}{\partial T} = \frac{d\sigma}{dT}|_0 - R\tau_s \ln(1 + Ka_i) - \frac{Ka_i}{1 + Ka_i} \frac{\tau_s(\Delta H^0 - \Delta H^M)}{T} \quad (24)$$

where  $\frac{d\sigma}{dT}|_0$  is the pure metal Marangoni coefficient,  $\tau_s$  is the surface excess at saturation,  $R$  is the gas constant.  $K = k_i \exp(\frac{-\Delta H^0}{RT})$  is the equilibrium constant for segregation, where  $k_i$  is the entropy factor,  $\Delta H^0$  is the standard heat of absorption,  $\Delta H^M$  is the partial molar enthalpy of species mixing in the solution.  $a_i$  is the sulfur weight percentage. The values of these parameters are summarized in Table 2. In this paper, two sulfur activities  $a_i = 0.002\%$ -wt (20 ppm) and  $a_i = 0.015\%$ -wt (150 ppm) are investigated. The corresponding  $\frac{\partial \sigma}{\partial T}$  as a function of temperature is plotted in Fig. 2.

We simulate the problem with linear tetrahedral elements. As shown in Fig. 3 (right), the computational domain is a cylinder with a radius of 7 mm and a height of 20 mm. The metal occupies the bottom half of the domain. A refined cylinder with a radius of 3 mm and a height of 12 mm is placed in the domain center to better capture the temperature and fluid dynamics. The mesh size gradually grows from the refined region to the outer boundaries





**Fig. 3.** Diagram of laser spot weld pool flow. Left: Problem setup. Right: Mesh.

**Table 2**

Parameters of Marangoni coefficient.

Name	Notation (units)	Value
Pure metal Marangoni coefficient	$\frac{d\sigma}{dT} _0$ (N m <sup>-1</sup> K <sup>-1</sup> )	$-5 \times 10^{-4}$
Saturation surface excess	$\tau_s$ (kmole m <sup>-2</sup> )	$1.3 \times 10^{-8}$
Entropy factor	$k_i$ (—)	$3.18 \times 10^{-3}$
Standard heat of absorption	$\Delta H^0$ (J kmole <sup>-1</sup> )	$-1.66 \times 10^8$
Partial molar enthalpy of species	$\Delta H^M$ (J kmole <sup>-1</sup> )	0
Sulfur activity	$a_i$ (%)	0.002, 0.015

from 0.08 mm to 2 mm. The total number of nodes and elements of the mesh are and 351,012 and 1,612,787, respectively.

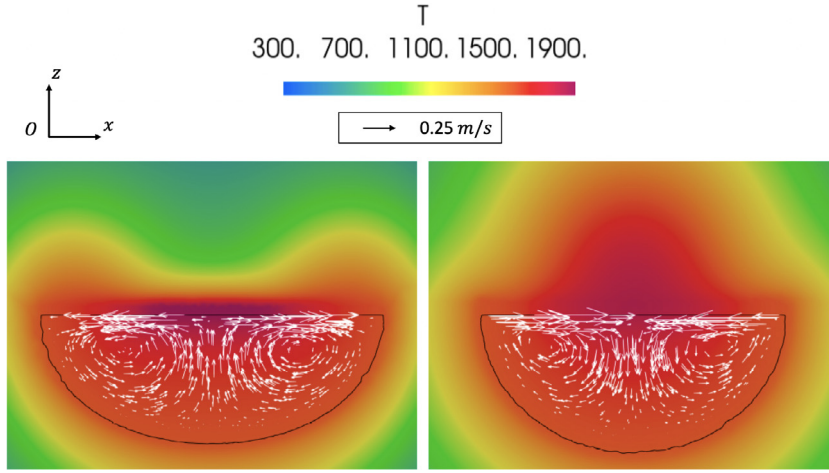
Since no material is deposited, a flat air–metal interface is assumed. The Marangoni effect, no penetration boundary condition, and heat source are applied on the air–metal interface by using the CSF models specified in Eq. (18)–(20). No heat flux and no-slip boundary conditions are applied on the three surfaces of the cylindrical domain. The simulations were run with 192 processors with  $\Delta t = 1 \times 10^{-3}$  s. This problem was investigated experimentally in [51] and computationally in [23] using a liquid–solid model. The results are used for comparison next.

Figs. 4 and 5 show the temperature contour, melt pool shape, and fluid velocity vectors scaled by their magnitude for the cases with two sulfur activities. The velocity vectors are tangential to the air–metal interface, which indicates that the no penetration boundary condition is enforced well by the CSF model. As shown in Fig. 2, the Marangoni coefficient has different signs in the melt pools for  $a_i = 20$  ppm and  $a_i = 150$  ppm cases, which leads to different melt pool shape and opposite flow circulations, as depicted in Fig. 4. In the  $a_i = 20$  ppm case, the Marangoni coefficient is mainly positive in the melt pool. The higher surface force in higher temperature drives the flow from the boundary to the center and digs a narrow and deep melt pool (see Fig. 5 (left)). In contrast, the Marangoni coefficient in the  $a_i = 150$  ppm case is mainly negative in the melt pool. The higher surface force in lower temperature drives the flow from the center to the boundary and results in a wide and shallow melt pool (see Fig. 5 (right)). Fig. 6 shows the time history of melt pool dimensions. Experimental measurements from [51] and numerical predictions from [23] are also plotted for comparison. Good agreements are obtained.

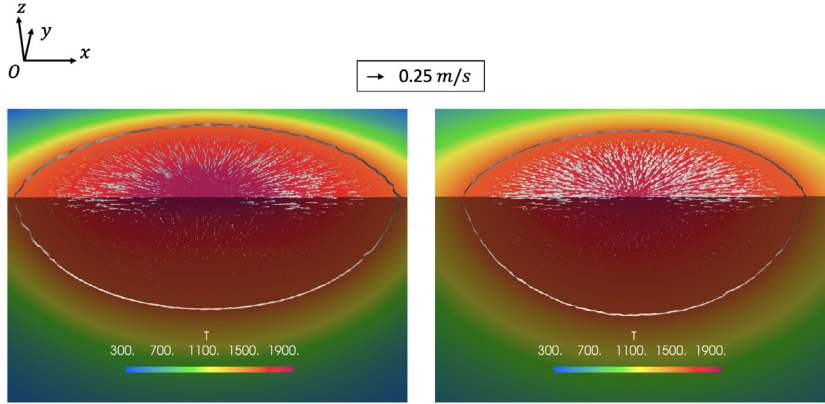
### 3.2. Direct energy deposition of SS-316L

A single-track direct energy deposition (DED) process is simulated to demonstrate the proposed approach's predictive capability. The problem is set up as follows. A laser with a Gaussian profile scans across a flat SS-316L





**Fig. 4.** Temperature (unit: K) and velocity (unit: m/s) vectors in melt pool (slice view). The black solid line indicates the melt pool boundary. Left: 20 ppm. Right: 150 ppm.



**Fig. 5.** Temperature (unit: K) and velocity (unit: m/s) vectors in melt pool (3D view). The black solid line indicates the melt pool boundary. Left: 20 ppm. Right: 150 ppm.

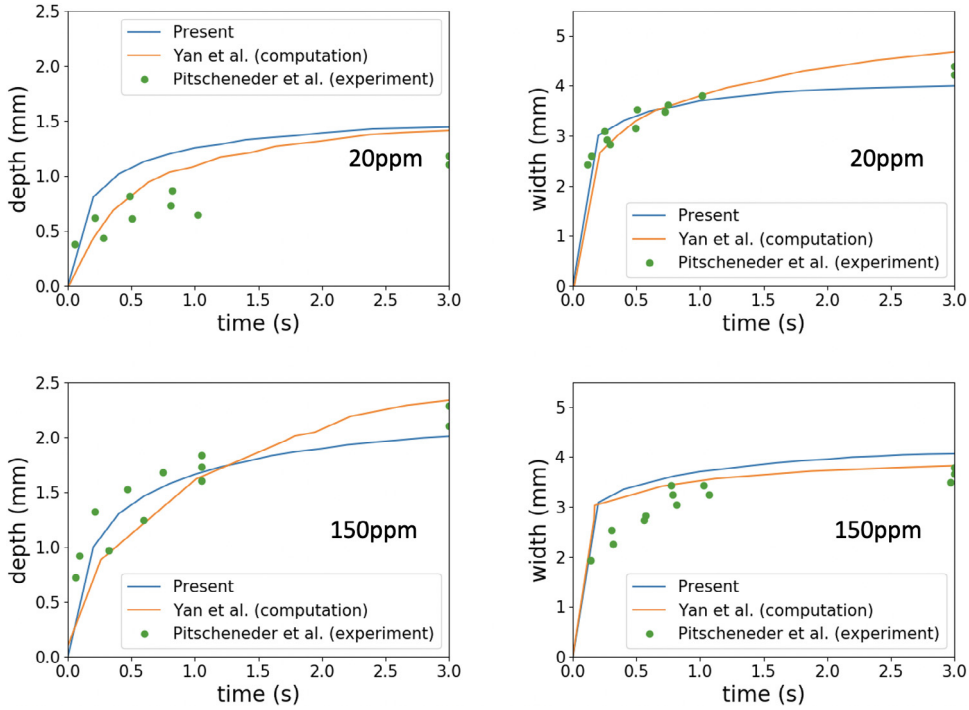
substrate with an initial temperature of  $T_0 = 300$  K. During the scanning, the nozzle around the laser simultaneously release SS-316L powders into the laser beam and deposit them onto the substrate. When the particles reach the built surface, it is assumed that they have been heated up to the local temperature. Thus, the absorbed energy by the depositing material can be computed as

$$Q_v = \dot{m} \int_{T_0}^T c_p(\tau) d\tau \quad (25)$$

The remaining laser energy entering the metal through the CSF model is

$$Q_T = \frac{d(\eta_p Q - Q_v)}{\pi r_b^2} \exp\left(-d \frac{\|\mathbf{x} - \mathbf{x}_c\|^2}{r_b^2}\right) (\mathbf{n} \cdot \mathbf{e}_3) \delta_\epsilon \quad (26)$$

The properties of SS-316L and manufacturing parameters utilized in the paper are listed in [Tables 3 and 4](#). The simulations make use of a box with dimensions of  $30.0 \times 5.0 \times 4.2$  mm. Structured hexahedral elements are used. A refined region with element length 0.06 mm is designed along the track to better capture the temperature and fluid dynamics. The generated mesh consists of 496,571 nodes and 388,960 elements. The simulation is performed with  $\Delta t = 0.25 \times 10^{-3}$  s until the melt pool reaches the quasi-steady state.



**Fig. 6.** Time history of melt pool dimensions. Experimental results from [51] and numerical predictions from [23] are also plotted for comparison.

Fig. 7 shows the temperature contour, melt pool shape, and velocity vectors in the gas and the melt pool at  $t = 0.25$  s,  $0.5$  s, and  $0.75$  s. As the laser moves forward, the constant negative Marangoni coefficient (see Table 3) drives the flow from high temperature to low temperature, leading to a long flow circulation region behind. Fig. 8 shows the built cross-section behind the laser and velocity vectors in the melt pool. The experimental image is also included for comparison. The theoretical deposit geometry model gives a very similar deposit shape to the experimental measurement. Besides, the predictive melt pool agrees well with the dilution region measured by the experiment. Fig. 9 shows the time history of melt pool dimension development. It takes a longer time for the length than width/depth to become stable. When the melt pool reaches the quasi-steady state (the shape does not change), the dimensions are measured and listed in Table 5.

Cooling rate is a vital variable in metal AM, which has an unmatched effect on micro-structure evolution [20,52], such as dendrite growth. Previous research indicated that the mechanical strength of additive manufactured parts is closely related to cooling rate. Fig. 10 shows the temperature profile at the quasi-steady state along the centerline of the deposit. In this paper, cooling rate is calculated at the centerline of the deposit by  $K_v = \frac{T_l - T_s}{t_v}$ , where  $t_v$  is the time of the cooling process takes from liquidus temperature to solidus temperature. We employ the theoretical model from [53] to evaluate the effect of cooling rate on mechanical properties. Firstly, the average magnitude of secondary dendrite arm spacing (SDAS),  $\lambda$ , is computed as

$$\lambda = 50(K_v)^{-0.4} \quad (27)$$

The unit of  $\lambda$  is  $\mu\text{m}$ . Moreover, the average hardness  $H_v$  related to yield strength of SS-316L takes the following form [21]

$$H_v = 3\sigma_y(0.1)^{-\frac{1}{4}} \quad (28)$$

where  $\sigma_y$  is the yield strength, which is a also function of average magnitude of SDAS, defined as

$$\sigma_y = \sigma_0 + \frac{K_y}{\sqrt{\lambda}} \quad (29)$$

**Table 3**  
Material properties of SS-316L.

Name	Notation (units)	Value
Gas density	$\rho_g$ (kg m <sup>-3</sup> )	0.864
Liquid density	$\rho_l$ (kg m <sup>-3</sup> )	7800
Solid density	$\rho_s$ (kg m <sup>-3</sup> )	7800
Gas heat capacity	$c_{p,g}$ (J kg <sup>-1</sup> K <sup>-1</sup> )	680
Liquid heat capacity	$c_{p,l}$ (J kg <sup>-1</sup> K <sup>-1</sup> )	769.9
Solid heat capacity	$c_{p,s}$ (J kg <sup>-1</sup> K <sup>-1</sup> )	$330.9 + 0.563T - 4.015 \times 10^{-4}T^2 + 9.465 \times 10^{-8}T^3$
Gas conductivity	$k_g$ (W m <sup>-1</sup> K <sup>-1</sup> )	0.028
Liquid conductivity	$k_l$ (W m <sup>-1</sup> K <sup>-1</sup> )	40.95
Solid conductivity	$k_s$ (W m <sup>-1</sup> K <sup>-1</sup> )	$11.82 + 0.0106T$
Liquidus temperature	$T_l$ (K)	1733
Solidus temperature	$T_s$ (K)	1693
Latent heat of fusion	$L$ (kJ kg <sup>-1</sup> K)	272
Dynamics viscosity	$\mu$ (Pa s)	0.007
Surface tension	$\sigma$ (N m <sup>-1</sup> )	1.5
Marangoni coefficient	$\frac{\partial \sigma}{\partial T}$ (N m <sup>-1</sup> K <sup>-1</sup> )	$-4 \times 10^{-4}$
Ambient temperature	$T_\infty$ (K)	300

**Table 4**  
DED parameters.

Name	Notation (units)	Value
Laser power	$Q$ (W)	2500
Laser moving speed	$V_s$ (m s <sup>-1</sup> )	0.0106
Laser radius	$r_b$ (m)	0.002
distribution factor	$d$ (—)	2.0
Powder flow rate	$\dot{m}$ (kg s <sup>-1</sup> )	$0.25 \times 10^{-3}$

**Table 5**  
Quasi-steady melt pool dimensions.

Length (mm)	Width (mm)	Depth (mm)
6.80	3.40	1.35

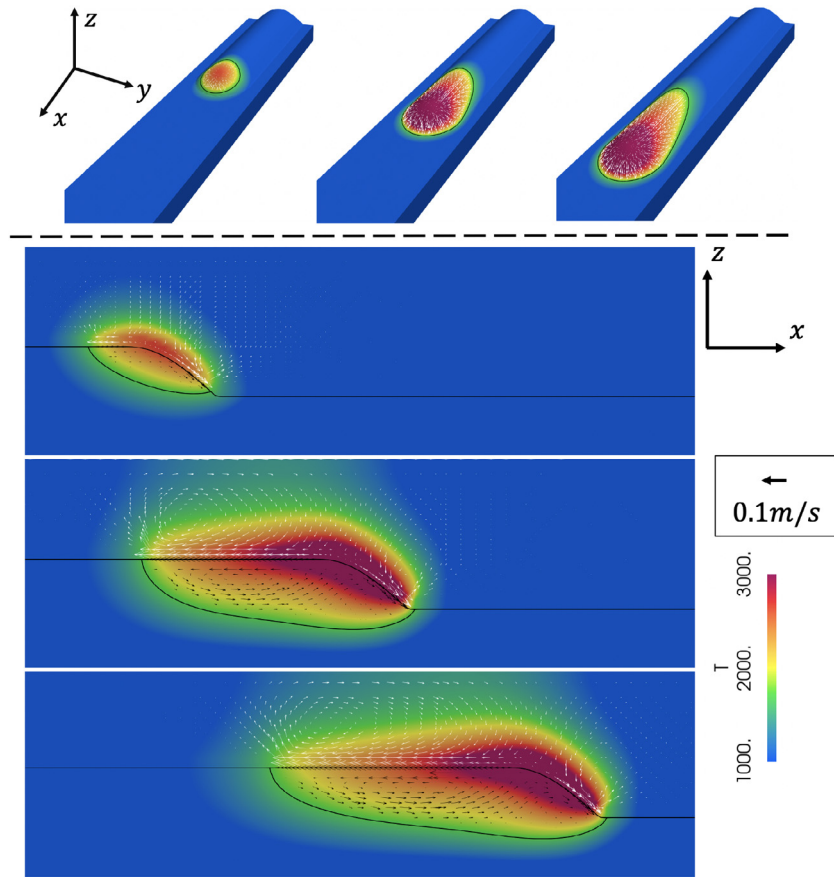
**Table 6**  
Cooling rate  $K_v$ , average magnitude of SDAS  $\lambda$ , and average hardness  $H_v$ .

	Cooling rate (K s <sup>-1</sup> )	SDAS ( $\mu$ m)	Hardness (MPa)
Present	937	3.24	2107.5
Simulation [20]	608	3.85	2039.0
Experiment [20]	—	$3.27 \pm 0.65$	$2014.5 \pm 44.5$

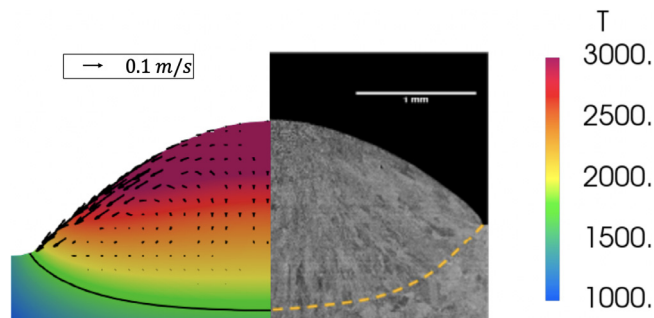
where  $\sigma_0$  and  $K_y$  are material-dependent coefficients, whose values are 240 MPa and  $279 \text{ MPa } \mu\text{m}^{\frac{1}{2}}$  for SS-316L, respectively [54]. Based these models, we list the predicted cooling  $K_v$ , average magnitude of SDAS  $\lambda$ , and averaged hardness  $H_v$  in Table 6, which shows good agreement with the experimental and simulation data obtained from [20].

#### 4. Conclusion

In this paper, we propose an effective thermal multi-phase flow framework for metal additive manufacturing (AM), particularly directed energy deposition (DED) processes. In contrast to the presumed deposit shapes used in the literature, a new deposit geometry model is developed based on an energy minimization function with a mass conservation constraint. A moving distance function with respect to the deposit geometry is then constructed to distinguish the air and metal phases. This interface-capture approach gives the freedom to deploy the thermal fluid

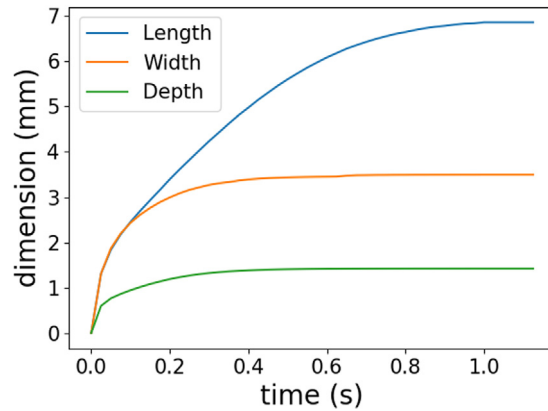


**Fig. 7.** Velocity vectors (unit: m/s) and temperature (unit: K) contour at  $t = 0.25$  s,  $0.5$  s, and  $0.75$  s (from left to right and from top to bottom). The solid line indicates the melt pool boundary and gas-metal interface. The velocity vectors are plotted in white for the gas phase and in black for the metal phase.

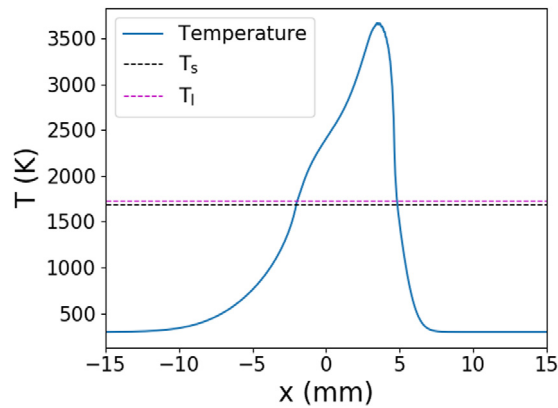


**Fig. 8.** Cross-section of the deposit: Temperature contour (unit: K) and velocity vectors (unit: m/s) in the melt pool. Left: Present prediction (the solid line indicates the boundary of the melt pool). Right: Experimental image from [20] (the dotted yellow lines indicates the edge of the dilution region).

model to any mesh type without explicitly needing to activate the solid elements in a mesh. We validate the proposed method on two representative metal manufacturing problems. Good agreement with experimental measurements is achieved. For the DED processes of SS-316L, the model can accurately predict the melt pool dimensions, fluid dynamics, and the average magnitude of SDAS and hardness.



**Fig. 9.** Time history of melt pool dimensions.



**Fig. 10.** Temperature profile along the centerline of the deposit at the quasi-steady state.

Some parts of the proposed formulation can be improved. Firstly, due to the complex fluid motion in the melt pool, the energy function in the minimization problem does not consider the kinetic energy, which may or may not affect the deposit geometry. Secondly, a large portion of the mesh is pre-refined along the track to capture the physics. Efficiency can be improved by incorporating adaptive mesh refinement (AMR) methods into the formulation. AMR is especially attractive for modeling multi-track and multi-layer metal AM processes. These issues will be addressed in future work.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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